

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Richard S. Valpey III

Filing Date: Filed Herewith

Title: Process for Producing Self-Cleaning Surfaces  
From Aqueous Systems

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

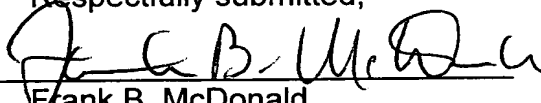
Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on Form PTO-1449. A copy of each listed foreign patent document and each non-patent citation is enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Dated: October 22, 2003

Respectfully submitted,

  
\_\_\_\_\_  
Frank B. McDonald

Registration No. 28,738  
S.C. Johnson & Son, Inc.  
Legal Department  
1525 Howe Street  
Racine, WI 53403  
(262) 260-2000

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

3

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Filed Herewith

Richard S. Valpey III

J-3456A

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		3,354,022		Dettre, et al.	03/31/64	
		4,517,375		Schmidt	05/14/85	
		4,525,213		Linn	06/25/85	
		4,568,718		Huebner, et al.	02/04/86	
		4,855,162		Wrasidlo, et al.	08/08/89	
		5,240,774		Ogawa, et al.	08/31/93	
		5,324,566		Ogawa, et al.	06/28/94	
		5,411,585		Avery, et al.	05/02/95	
		5,538,762		Ogawa, et al.	07/23/96	
		5,599,489		Saiki, et al.	02/04/97	
		5,741,765		Leach	04/21/98	
		5,753,734		Maruyama	05/19/98	
		6,020,419		Bock, et al.	02/01/00	
		6,156,409		Doushita et al.	12/05/00	
		6,197,426	B1	Coppens	03/06/01	
		6,218,000	B1	Rudolf, et al.	04/17/01	
		6,323,268	B1	Fisher, et al.	11/27/01	
		6,352,582	B1	Brown	03/05/02	
		2002/0048679	A1	Lohmer et al.	04/25/02	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		EP	0933388	A2	Creavis Tech & Innovati	8-4-1999		
		WO	9604123	A1	Barthlott, Wilhelm	2-15-1996		✓
		JP	62191447		Hiramoto Hiroyuki et al.	8-21-1987		
		EP	1040874	A2	Creavis Tech & Innovati	10-4-2002		
		EP	0937962	A2	Creavis Tech & Innovati	8-25-1999		
		CA	2,302,118		Creavis	9-29-2000		
		CH	268258		Rhone-Poulenc	5-15-1950		
		EP	0772514	B1	Barthlott, Wilhelm	12-23-1998		
		GB	2121059	A	Yarsley Research	12-14-1983		
		EP	1018531	A2	Creavis	5-25-2002		

Examiner  
Signature

Date

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Examiner Signature		Date Considered	
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